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UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

16009.1.1

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

Docket No.

TO THE COMMISSIONER FOR PATENTS

Mail St p Patent Applicati n P.O. Box 1450 Alexandria, VA 22313-1450

B. PT 042

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

COOLANT ADDITIVES CONTAINING STRONTIUM MINERAL POWDER and invented by: Hirosh Kobori If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information: ☐ C ntinuation Divisional ☐ Continuation-in-part (CIP) of prior application No.: Which is a: □ C ntinuation ☐ Continuation-in-part (CIP) of prior application No.: □ Divisional Which is a: ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: Enclosed are: Application Elements Filing fee as calculated and transmitted as described below 2. Specification having 22 pages and including the following: a. 🛛 Descriptive Title of the Invention b. 🗵 Cross References to Related Applications (if applicable) Statement Regarding Federally-sponsored Research/Development (if applicable) c. 🔲 d. 🔲 Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix e. 🛛 Background of the Invention f. ⊠ Brief Summary of the Invention Brief Description of the Drawings (if filed) g. 🛛 h. 🔯 **Detailed Description** Claim(s) as Classified Below i. 🛛 j. 🛛 Abstract of the Disclosure

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1

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Application Elem nts (C ntinued)

| 3. | \boxtimes | Drawing(s) (when necessary as prescribed by 35 USC 113) |
|-----|-------------|---|
| | a. | Formal Number of Sheets 4 |
| | b. | Informal Number of Sheets |
| 4. | \boxtimes | Dath or Declaration |
| | a. | ☐ Newly executed (original or copy) ☐ Unexecuted |
| | b. | Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only) |
| | C. | ☑ With Power of Attorney ☐ Without Power of Attorney |
| | d. | DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). |
| 5. | | ncorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied unde Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby ncorporated by reference therein. |
| 6. | | CD ROM or CD-R in duplicate, large table or Computer Program (Appendix) |
| 7. | | Application Data Sheet (See 37 CFR 1.76) |
| 8. | | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included) |
| | a. | Computer Readable Form (CFR) |
| | b. | |
| | | i. CD-ROM or CD-R (2 copies); or |
| | | ii. 🔲 Paper |
| | C. | Statement(s) Verifying Identical Paper and Computer Readable Copy |
| | | Accompanying Application Parts |
| 9. | X | Assignment Papers (cover sheet & document(s)) |
| 10. | | 7 CFR 3.73(B) Statement (when there is an assignee) |
| 11. | | English Translation Document (if applicable) |
| 12. | | nformation Disclosure Statement/PTO-1449 |
| 13. | | Preliminary Amendment |
| 14. | X | Return Receipt Postcard (MPEP 503) (Should be specifically itemized) |
| 15. | | Certified Copy of Priority Document(s) (if foreign priority is claimed) |
| 16. | \boxtimes | Certificate of Mailing |
| | | First Class Express Mail (Specify Label No.): EV 396 739 765 US |
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Docket No.

| | | Acc mpanying Applicati n Parts (Continu d) |
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| 17. | \boxtimes | Applicant claims small entity status. See 37 CFR 1.27. |
| | | ☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted: |
| | | |
| 18. | \boxtimes | Additional Enclosures (please identify below): |
| | | PTO-2038 in the amount of \$452.00. |
| | | ***This application claims the benefit under 35 U.S.C. §119 of foreign patent application no. 2003-330517, filed in Japan on September 22, 2003.*** |
| | | |
| | | |
| | | Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2) |
| 19. | | Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application. |
| | | Warning |
| | | An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional. |
| | | |

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Docket No. 16009.1.1

Total Pages in this Submission

| Fee Calculation | and Transmittal |
|-----------------|-----------------|
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CLAIMS AS FILED For #Filed #Allowed #Extra Rate Fee T tal Claims 23 -20 =3 \$9.00 \$27.00 X 3 0 \$0.00 Indep. Claims - 3 = \$43.00 X Multiple Dependent Claims (check if applicable) \$0.00 **BASIC FEE** \$385.00 OTHER FEE (specify purpose) \$40.00 **Assignment Recordation Fee TOTAL FILING FEE** \$452.00 PtO-2038 A-check in the amount of \$452.00 to cover the filing fee is enclosed. ☑ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. ☐ Charge the amount of as filing fee. ☑ Credit any overpayment. □ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b). Brun deul Dated: January 2, 2004

Page 4 of 4

CC:

R. BURNS ISRAELSEN Attorney for Applicant Registration No. 42,685

CUSTOMER NUMBER: 022913

| applicant(s): Hirosh Kobo | AILING BY "EXPRESS I | MAIL" (37 CFR 1.10) | Docket No. 16009.1.1 |
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| Serial No. Unassigned | Filing Date January 2, 2004 | Examiner Unassigned | Group Art Unit Unassigned |
| nvention: COOLANT AI | DDITIVES CONTAINING STR | ONTIUM MINERAL POWDER | 1 |
| I hereby certify that the f | ollowing correspondence: | | |
| Assignment with Recorda | | e); Patent Application (22 pgs); Declaration (2 pgs); PTO-2038 in 5 US; and postcard | |
| | (Identify type | of correspondence) | |
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| • | e addressed to: Commissioner | · | |
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| | e addressed to: Commissioner January 2, 2004 | Beth Woodhs (Typed or Printed Name of Person Ma | xandria, VA 22313-1450 on management (correspondence) |
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| | e addressed to: Commissioner January 2, 2004 | Beth Woodhs (Typed or Printed Name of Person Ma (Signature of Person Mailing C | xandria, VA 22313-1450 or tim titing Correspondence) orrespondence) |
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| | January 2, 2004 (Date) | Beth Woodhs (Typed or Printed Name of Person Ma (Signature of Person Mailing C | xandria, VA 22313-1450 on the street of the |
| | January 2, 2004 (Date) | Beth Woodha (Typed or Printed Name of Person Mailing C EV 396 739 765 ("Express Mail" Mailing La | xandria, VA 22313-1450 o |